

# Lie Algebra Problem Sheets

Dr. Joachim Wehler

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# Problem Sheet 1

## Fundamentals

**Exercise 1.1.** Determine the radius of convergence of the following power series:

(1)  $\sum_{v=0}^{\infty} \frac{1}{v!} \cdot z^v$

(2)  $\sum_{v=0}^{\infty} z^v$

(3)  $\sum_{v=1}^{\infty} \frac{(-1)^{v+1}}{v} \cdot z^v$

(4)  $\sum_{v=0}^{\infty} \frac{(-1)^v}{(2v)!} \cdot z^{2v}$

(5)  $\sum_{v=0}^{\infty} v! \cdot z^v$

(6) Which well-known functions do the power series (1)-(5) represent?

**Exercise 1.2.** Consider the field  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{K} = \mathbb{C}$ . Prove that the Euclidean norm

$$\|x\| := \sqrt{\sum_{i=1}^n |x_i|^2}, \quad x = (x_1, \dots, x_n) \in \mathbb{K}^n,$$

is a norm on the vector space  $\mathbb{K}^n$ , i.e. it satisfies

(1)  $\|x\| = 0 \Leftrightarrow x = 0, x \in \mathbb{K}^n,$

(2)  $\|\lambda \cdot x\| = |\lambda| \cdot \|x\|, \lambda \in \mathbb{K}, x \in \mathbb{K}^n,$

(3) Triangle inequality:  $\|x + y\| \leq \|x\| + \|y\|, x, y \in \mathbb{K}^n$

**Exercise 1.3.** Consider the field  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{K} = \mathbb{C}$ . Show that for matrices  $A, B \in M(n \times n, \mathbb{K})$  the operator norm

$$\|A\| := \sup\{\|Ax\| : x \in \mathbb{K}^n \text{ and } \|x\| \leq 1\}$$

is a norm on the vector space  $M(n \times n, \mathbb{K})$ , i.e. it satisfies

(1)  $\|A\| = 0 \Leftrightarrow A = 0,$

(2)  $\|\lambda \cdot A\| = |\lambda| \cdot \|A\|, \lambda \in \mathbb{K},$

(3) Triangle inequality:  $\|A + B\| \leq \|A\| + \|B\|$

In addition show

(4)  $\|A \cdot B\| \leq \|A\| \cdot \|B\|,$

(5)  $\|\mathbb{1}\| = 1$  with the unit matrix  $\mathbb{1} \in M(n \times n, \mathbb{K})$ .

**Exercise 1.4.** Consider the matrix

$$A := \begin{pmatrix} 3 & 4 & 3 \\ -1 & 0 & -1 \\ 1 & 2 & 3 \end{pmatrix} \in M(3 \times 3, \mathbb{C}).$$

- (1) Determine the characteristic polynomial of  $A$ .
- (2) Determine the eigenvalues and eigenspaces of  $A$ .
- (3) Is  $A$  diagonalizable?

## Problem Sheet 2

# Matrix Series and the Exponential Map

**Exercise 2.1.** The complex geometric series

$$\sum_{v=0}^{\infty} z^v$$

has radius of convergence  $R = 1$ . Hence the series

$$\sum_{v=0}^{\infty} A^v \in M(n \times n, \mathbb{C})$$

is well-defined for any matrix  $A \in M(n \times n, \mathbb{C})$  with  $\|A\| < 1$ .

Show: The matrix  $\mathbf{1} - A \in M(n \times n, \mathbb{C})$  is invertible with

$$(\mathbf{1} - A)^{-1} = \sum_{v=0}^{\infty} A^v.$$

[Hint: Imitate the proof of the analogous result for the complex geometric series.]

**Exercise 2.2.** The complex logarithmic series

$$\log(1 + z) = \sum_{v=1}^{\infty} \frac{(-1)^{v+1}}{v} \cdot z^v$$

has radius of convergence  $R = 1$ . Hence the series

$$\log(\mathbf{1} + A) := \sum_{v=1}^{\infty} (-1)^{v+1} \cdot \frac{A^v}{v} \in M(n \times n, \mathbb{C})$$

is well-defined for any matrix  $A \in M(n \times n, \mathbb{C})$  with  $\|A\| < 1$ . Consider an open subset  $I \subset \mathbb{R}$  and a differentiable function

$$B : I \rightarrow M(n \times n, \mathbb{C})$$

with  $\|B(t) - \mathbf{1}\| < 1$  and  $[B'(t), B(t)] = 0$  for all  $t \in I$ .

Show: For all  $t \in I$  the inverse  $B(t)^{-1}$  exists and

$$\frac{d}{dt} \log B(t) = B(t)^{-1} \cdot B'(t) = B'(t) \cdot B(t)^{-1}.$$

[Hint: In order to compute  $B(t)^{-1}$  apply Exercise 2.1 with  $A := \mathbf{1} - B(t)$ .]

**Exercise 2.3.** Consider the endomorphism  $f \in \text{End}(\mathbb{C}^2)$  defined with respect to the canonical basis by the matrix

$$A = \begin{pmatrix} 1 & 2 \\ 0 & 3 \end{pmatrix} \in M(2 \times 2, \mathbb{C}).$$

(1) Show that

$$A_s := \begin{pmatrix} 1 & 0 \\ 0 & 3 \end{pmatrix} \quad (\text{semisimple})$$

and

$$A_n := \begin{pmatrix} 0 & 2 \\ 0 & 0 \end{pmatrix} \quad (\text{nilpotent})$$

are not the matrices of the Jordan decomposition of  $f$ .

(2) Compute the matrices of the Jordan decomposition of  $f$ .

**Exercise 2.4.** Provide the group

$$GL(n, \mathbb{K}) \subset \mathbb{K}^{n^2}$$

with the induced topology from the Euclidean space.

Show: Each open subgroup

$$H \subset GL(n, \mathbb{K})$$

is also closed.

[Hint: You may use that a subspace is closed if and only if its complement is open.]

## Problem Sheet 3

# Jordan Decomposition and Basic Structure

**Exercise 3.1.** Determine a matrix  $A \in \mathfrak{gl}(2, \mathbb{C})$  with

$$\exp A = \begin{pmatrix} -1 & b \\ 0 & -1 \end{pmatrix}, \quad b \in \mathbb{R}^*.$$

**Exercise 3.2.** Consider a finite-dimensional complex vector space  $V$  and an endomorphism  $f \in \text{End}V$ . Show:

- (1) If  $f$  is diagonalizable then  $f$  is semisimple.
- (2) If  $f$  is semisimple then  $f$  is diagonalizable.

[Hint: You may use the decomposition  $V = \bigoplus_{\lambda} V^{\lambda}(f)$  and prove:

$$p_{\min}(T) = \prod_{\lambda} (T - \lambda) \Rightarrow V^{\lambda}(f) \subset V_{\lambda}(f).]$$

- (3) The sum of two semisimple, commuting endomorphisms of  $V$  is semisimple.

**Exercise 3.3.** Consider a finite-dimensional  $\mathbb{K}$ -vector space  $V$ . Show: The sum of two nilpotent, commuting endomorphisms of  $V$  is nilpotent.

**Exercise 3.4.** Show that the subgroup of invertible matrices with rational entries

$$GL(2, \mathbb{Q}) \subset GL(2, \mathbb{C})$$

is not a matrix group.

# Problem Sheet 4

## The Exponential Map and Lie Algebra Structure

**Exercise 4.1.** For  $\mathbb{K} \in \{\mathbb{R}, \mathbb{C}\}$  and  $k \in \mathbb{N}^*$  denote by

$$\mathfrak{n}(k, \mathbb{K}) := \{(a_{ij}) \in M(k \times k, \mathbb{K}) : a_{ij} = 0 \text{ for } j \leq i\}$$

the Lie algebra of strictly upper triangular matrices and by

$$UP(k, \mathbb{K}) := \{\mathbf{1} + A \in GL(k, \mathbb{K}) : A \in \mathfrak{n}(k, \mathbb{K})\}$$

the group of unipotent matrices. Show:

(1) The Lie algebra satisfies

$$\mathfrak{up}(k, \mathbb{K}) = \mathfrak{n}(k, \mathbb{K})$$

(2) The exponential map

$$\exp : \mathfrak{up}(k, \mathbb{K}) \rightarrow UP(k, \mathbb{K})$$

is surjective and injective.

**Exercise 4.2.** For the Lie algebra  $L := \mathfrak{gl}(n, \mathbb{C})$  consider the adjoint representation

$$\begin{aligned} \text{ad} : L &\rightarrow \text{End}L, \\ X &\mapsto \text{ad}_X, \end{aligned}$$

with

$$\begin{aligned} \text{ad}_X : L &\rightarrow L, \\ (\text{ad}_X)(Y) &:= [X, Y]. \end{aligned}$$

For  $v \in \mathbb{N}$  define the  $v$ -th iteration

$$\begin{aligned} (\text{ad}_X)^v : L &\rightarrow L, \\ (\text{ad}_X)^v &:= [X, \dots [X, [X, Y]] \dots] \end{aligned}$$

with  $v$ -times the argument  $X$ .

(1) Show by induction

$$(\text{ad}_X)^N(Y) = \sum_{v=0}^N \binom{N}{v} X^v \cdot Y \cdot (-X)^{N-v}$$

(2) Define

$$\begin{aligned} e^{\text{ad}_X} : L &\rightarrow L, \\ e^{\text{ad}_X}(Y) &:= \sum_{N=0}^{\infty} \frac{(\text{ad}_X)^N(Y)}{N!}. \end{aligned}$$

Show

$$(e^{\text{ad}_X})(Y) = e^X \cdot Y \cdot e^{-X}.$$

**Exercise 4.3.** Consider a pair of two matrices  $X, Y \in M(n \times n, \mathbb{C})$  each of which commutes with the commutator, i.e.

$$[X, [X, Y]] = [Y, [X, Y]] = 0.$$

(1) Show the equivalence

$$\begin{aligned} \exp tX \cdot \exp tY &= \exp \left( tX + tY + \frac{t^2}{2} \cdot [X, Y] \right) \\ &\Downarrow \\ \exp tX \cdot \exp tY \cdot \exp \left( -\frac{t^2}{2} \cdot [X, Y] \right) &= \exp(t(X + Y)). \end{aligned}$$

(2) Show that the two differentiable functions of the real parameter  $t$

$$\mathbb{R} \rightarrow GL(n, \mathbb{C})$$

defined respectively as

$$\exp(t(X + Y)) \text{ and } \exp tX \cdot \exp tY \cdot \exp \left( -\frac{t^2}{2} \cdot [X, Y] \right)$$

satisfy the same linear ordinary differential equation with respect to  $t$  and the same initial condition for  $t = 0$ .

[Hint: You may apply the product rule and combine the three resulting summands by using the functional equation of  $\exp$  in the commutative case. Then in the first summand the term  $X \cdot \exp tY$  can be transformed by the formula from Exercise 4.2.]

(3) Prove the adapted functional equation

$$\exp X \cdot \exp Y = \exp \left( X + Y + \frac{1}{2} \cdot [X, Y] \right).$$

**Exercise 4.4.** Assume  $\mathbb{K} \in \{\mathbb{R}, \mathbb{C}\}$  and denote by  $UP(3, \mathbb{K}) \subset GL(3, \mathbb{K})$  the subgroup of unipotent matrices.

(1) Show: The exponential map

$$\exp : \mathfrak{n}(3, \mathbb{K}) \rightarrow UP(3, \mathbb{K})$$

satisfies

$$\exp(X) \cdot \exp(Y) = \exp \left( X + Y + \frac{1}{2} \cdot [X, Y] \right).$$

(2) Define a group structure on  $\mathfrak{n}(3, \mathbb{K})$  such that

$$\exp : \mathfrak{n}(3, \mathbb{K}) \rightarrow UP(3, \mathbb{K})$$

becomes an isomorphism of groups.

[Hint: You may apply the results of Exercise 4.1 and 4.3.]

# Problem Sheet 5

## Representations of $\mathfrak{su}(2)$ and $\mathfrak{sl}(2, \mathbb{C})$

**Exercise 5.1.** For a matrix group  $G$  with surjective exponential map

$$\exp : \mathfrak{g} \rightarrow G.$$

Show: Each  $g \in G$  has for each  $n \in \mathbb{N}^*$  a  $n$ -th root  $\sqrt[n]{g} \in G$ , i.e. there exists

$$h \in G \text{ with } h^n = g.$$

**Exercise 5.2.** For  $j = 1, 2, 3$  compute explicitly the value of the 1-parameter subgroup of  $SU(2)$  with infinitesimal generator  $i \cdot \sigma_j \in \mathfrak{su}(2)$  with the Pauli matrix  $\sigma_j$ .

**Exercise 5.3.** Assume the following results:

- For each representation of  $\mathfrak{su}(2)$  on a finite dimensional complex vector space  $V$

$$\lambda : \mathfrak{su}(2) \rightarrow \mathfrak{gl}(V)$$

exists a unique morphism of matrix groups

$$A : SU(2) \rightarrow GL(V)$$

such that the following diagram commutes

$$\begin{array}{ccc} SU(2) & \xrightarrow{A} & GL(V) \\ \exp \uparrow & & \uparrow \exp \\ \mathfrak{su}(2) & \xrightarrow{\lambda} & \mathfrak{gl}(V) \end{array}$$

- For each  $n \in \mathbb{N}$  exists a representation

$$\rho_n : \mathfrak{sl}(2, \mathbb{C}) \rightarrow \mathfrak{gl}(V_n)$$

with an  $(n + 1)$ -dimensional complex vector space

$$V_n = \text{span}_{\mathbb{C}} \langle e_0, \dots, e_n \rangle$$

and the  $\mathfrak{sl}(2, \mathbb{C})$ -action: For  $j = 0, \dots, n$

$$\begin{aligned} h.e_j &= (n - 2j) \cdot e_j, & x.e_j &= (n - j + 1) \cdot e_{j-1}, & y.e_j &= (j + 1) \cdot e_{j+1}; \\ e_{n+1} &:= e_{-1} := 0 \end{aligned}$$

for the elements

$$h := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad x := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad y := \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \in \mathfrak{sl}(2, \mathbb{C})$$

Define the restriction to  $\mathfrak{su}(2)$  as

$$\lambda_n := \rho_n|_{\mathfrak{su}(2)} : \mathfrak{su}(2) \rightarrow \mathfrak{gl}(V_n), n \in \mathbb{N}.$$

Show the equivalence of the following two properties:

- The parameter  $n \in \mathbb{N}$  is even.
- For the induced morphism of matrix groups

$$A_n : SU(2) \rightarrow GL(V_n)$$

with tangent map  $\lambda_n$  exists a morphism of matrix groups

$$\bar{A}_n : SO(3, \mathbb{R}) \rightarrow GL(V_n)$$

such that the following diagram—with  $\Phi$  the universal covering—commutes

$$\begin{array}{ccc} SU(2) & \xrightarrow{A_n} & GL(V_n) \\ \Phi \downarrow & \nearrow \bar{A}_n & \\ SO(3, \mathbb{R}) & & \end{array}$$

Note: The group morphisms  $A_n$  with odd  $n \in \mathbb{N}$  are named the spinor representations of  $SU(2)$ .

**Exercise 5.4.** Consider a Lie algebra  $L$  and an ideal  $I \subset L$ . Assume: The Lie algebra  $L/I$  is nilpotent and for all  $x \in L$  the restricted endomorphism

$$(\text{ad } x)|_I : I \rightarrow I$$

is nilpotent. Show: The Lie algebra  $L$  is nilpotent.

# Problem Sheet 6

## Nilpotent Lie Algebras

**Exercise 6.1.** Consider the following diagram with two short exact sequences of morphisms of Lie algebras, and assume the existence of a morphism

$$f : L_1 \rightarrow L_3$$

which makes the diagram commutative:

$$\begin{array}{ccccccc}
 & & & L_1 & & & \\
 & & j_1 \nearrow & \vdots & \searrow \pi_1 & & \\
 0 & \longrightarrow & L_0 & & L_2 & \longrightarrow & 0 \\
 & & j_2 \searrow & \vdots & \nearrow \pi_2 & & \\
 & & & L_3 & & & 
 \end{array}$$

Show that  $f$  is an isomorphism of Lie algebras.

**Exercise 6.2.** Consider a Lie algebra  $L$ . Show:

- (1) For two nilpotent ideals  $I, J \subset L$  also the sum  $I + J \subset L$  is a nilpotent ideal.
- (2) There exists a unique maximal nilpotent ideal in  $L$  (named the nilradical of  $L$ ).

**Exercise 6.3.** Consider a nilpotent  $\mathbb{K}$ -Lie algebra  $L \neq \{0\}$ . Show:

- (1) There exists a  $\mathbb{K}$ -vector space decomposition

$$L = I \oplus \mathbb{K} \cdot x_0$$

with an ideal  $I \subset L$  and a non-zero element  $x_0 \in L$ .

- (2) The centralizer of  $I$  satisfies

$$C_L(I) \neq \{0\},$$

and there exists a maximal exponent  $n \in \mathbb{N}$  with

$$C_L(I) \subset C^n L.$$

- (3) There exists an outer derivation of  $L$ , i.e. a derivation

$$D : L \rightarrow L$$

which does not have the form

$$D = \text{ad } u \text{ with } u \in L.$$

[Hint: You may use  $C_L(I) \setminus C^{n+1}L \neq \emptyset$ .]

**Exercise 6.4.** Determine explicitly an outer derivation of the Lie algebra in  $\mathfrak{n}(3, \mathbb{K})$ .

# Problem Sheet 7

## The Heisenberg Algebra and Bilinear Forms

**Exercise 7.1.** Determine the center  $Z(\mathfrak{h}(n))$  of the Heisenberg algebra.

**Exercise 7.2.** For  $n \in \mathbb{N}$  consider the vector space of square matrices

$$M := M(n \times n, \mathbb{K}),$$

and the symmetric bilinear trace form

$$\begin{aligned}\beta &: M \times M \rightarrow \mathbb{K}, \\ \beta(A, B) &:= \operatorname{tr}(A \cdot B)\end{aligned}$$

For each subspace  $V \subset M$  denote by

$$V^\perp := \{A \in M : \beta(A, v) = 0 \text{ for all } v \in V\}$$

the orthogonal space of  $V$ . Show:

- (1) The form  $\beta$  is non-degenerate, i.e.  $M^\perp = \{0\}$ .
- (2) The canonical map to the dual space

$$\begin{aligned}j_\beta &: M \rightarrow M^*, \\ A &\mapsto \beta(A, -),\end{aligned}$$

is an isomorphism of  $\mathbb{K}$ -vector spaces.

- (3) For each vector subspace  $V \subset M$  holds

$$j_\beta(V^\perp) = V^0 := \{\lambda \in M^* : \lambda|_V = 0\}$$

and  $j_\beta$  induces an isomorphism

$$M/V^\perp \xrightarrow{\cong} V^*.$$

**Exercise 7.3.** For  $n \in \mathbb{N}$  consider the group

$$AF(n, \mathbb{K}) := \{\mathbb{K}^n \rightarrow \mathbb{K}^n, v \mapsto A \cdot v + b : A \in GL(n, \mathbb{K}), b \in \mathbb{K}^n\}$$

of affine automorphisms of  $\mathbb{K}^n$ .

- (1) Show: The group  $AF(n, \mathbb{K})$  is isomorphic to a matrix group  $G \subset GL(n+1, \mathbb{K})$ . In the following identity

$$AF(n, \mathbb{K}) \text{ and } G.$$

- (2) Compute the Lie algebra  $\mathfrak{af}(n, \mathbb{K})$  of Lie group  $AF(n, \mathbb{K})$ .
- (3) Show that  $\mathfrak{af}(n, \mathbb{K})$  is a semidirect product

$$I \rtimes_\theta M$$

with two  $\mathbb{K}$ -Lie algebras  $I$  and  $M$ , and a suitable morphism of Lie algebras

$$\theta : M \rightarrow \operatorname{Der}(I).$$

**Exercise 7.4.** Consider the Lie algebra  $\mathfrak{sl}(2, \mathbb{K})$  and its standard basis  $(e_i)_{i=1,2,3}$  with

$$e_1 := h := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad e_2 := x := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad e_3 := y := \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \in \mathfrak{sl}(2, \mathbb{K}).$$

- (1) With respect to the standard basis compute the matrices from  $M(2 \times 2, \mathbb{K})$  of the endomorphisms of  $\mathfrak{sl}(2, \mathbb{K})$

$$\text{ad } h, \text{ ad } x, \text{ ad } y.$$

- (2) Determine the Killing form of  $\mathfrak{sl}(2, \mathbb{K})$  with respect to the standard basis, i.e. determine the matrix

$$Q = (\kappa(e_i, e_j))_{1 \leq i, j \leq 3}.$$

- (3) Determine the rank and the eigenvalues of  $Q$ .

# Problem Sheet 8

## Review of Key Concepts and Definitions

**Exercise 8.1.** Which classes of Lie algebras do you know? Give the definition of each class.

**Exercise 8.2.** Is any nilpotent Lie algebra also solvable?

**Exercise 8.3.** What is the content of the Cartan criterion for solvability?

**Exercise 8.4.** What does Lie's theorem state, why does one need the complex numbers as base field?

**Exercise 8.5.** How is the Killing form defined? Give some applications of the Killing form.

**Exercise 8.6.** Set

$$A = \begin{pmatrix} \lambda & b \\ 0 & \lambda \end{pmatrix}, \lambda \in \mathbb{K}.$$

Determine the minimal polynomial  $p_{\min}(T)$  of  $A$  and its characteristic polynomial  $p_{\text{char}}(T)$ . How do they relate?

**Exercise 8.7.** Give the definition of the trace form of a representation?

**Exercise 8.8.** Set

$$B = \begin{pmatrix} 1 & 2 \\ 0 & 3 \end{pmatrix}.$$

Why are the matrices

$$B_s = \begin{pmatrix} 1 & 0 \\ 0 & 3 \end{pmatrix} \text{ and } B_n = \begin{pmatrix} 0 & 2 \\ 0 & 0 \end{pmatrix}$$

not the summands of the Jordan decomposition of  $B$ ?

**Exercise 8.9.** What is the content of the Cartan criterion for semisimpleness?

**Exercise 8.10.** State the Jacobi identity.

**Exercise 8.11.** State the definition of a representation of a Lie algebra.

**Exercise 8.12.** For which class of Lie algebras is the adjoint representation faithful?

**Exercise 8.13.** State the definition and some properties of the exponential map of matrices.

**Exercise 8.14.** Give an example of an infinite matrix series. For which matrices does the series converge?

**Exercise 8.15.** State the difference between the matrix product and the Lie bracket of Lie algebras.

**Exercise 8.16.** What are derivations, how do they relate to the adjoint representation?

**Exercise 8.17.** State the definition and name some properties of the Killing form.

**Exercise 8.18.** How do  $p_{\min}(T)$  and  $p_{\text{char}}(T)$  relate for general square matrices?

**Exercise 8.19.** What about surjectivity of the exponential map?

**Exercise 8.20.** State the definition and some properties of the Heisenberg Lie algebra.

**Exercise 8.21.** State the main theorem about nilpotent Lie algebras.

**Exercise 8.22.** Define the semidirect product of two Lie algebras. How does it relate to the direct product?

- Exercise 8.23.** How does a semisimple Lie algebra split?
- Exercise 8.24.** Give the definition of the orthogonal space of an ideal in a semisimple Lie algebra, and state its property.
- Exercise 8.25.** State the definition of the Lie algebra of a matrix group.
- Exercise 8.26.** How is the adjoint representation defined?
- Exercise 8.27.** State the main theorem about solvable Lie algebras.
- Exercise 8.28.** Name some of the classical matrix groups and derive their Lie algebras.
- Exercise 8.29.** State the definition of a 1-parameter group.
- Exercise 8.30.** State the definition of a connected topological space.
- Exercise 8.31.** Describe the universal covering projection of  $SO(3, \mathbb{R})$ .
- Exercise 8.32.** How does the dynamic Lie algebra of quantum mechanics relate to the Heisenberg algebra?
- Exercise 8.33.** State the general form of nilpotent matrix Lie algebras?
- Exercise 8.34.** State the definition of the fundamental group of a connected topological space.
- Exercise 8.35.** State Weyl's theorem on complete reducibility.
- Exercise 8.36.** Describe the universal covering projection of the identity component of the Lorentz group.
- Exercise 8.37.** How does respectively nilotency and solvability behave in short exact sequences of Lie algebra morphisms?
- Exercise 8.38.** Characterize semisimpleness of a Lie algebra by its radical.
- Exercise 8.39.** When does a short exact sequence of Lie algebra morphisms split? What does splitting imply?
- Exercise 8.40.** Name some applications of the Jordan decomposition in Lie algebra theory.
- Exercise 8.41.** State some types of induced representations. Prove that they are representations.
- Exercise 8.42.** Give some examples from classical matrix groups which are simply connected and others which are not simply connected.

# Problem Sheet 9

## Semisimple Lie Algebras and Their Structure

**Exercise 9.1.** For the Lie algebra

$$L := \mathfrak{sl}(n, \mathbb{C}), \quad n \in \mathbb{N},$$

Show: The subalgebra of diagonal matrices

$$\mathfrak{d}(n, \mathbb{C}) \cap L$$

is a maximal toral subalgebra of  $L$ .

**Exercise 9.2.** Consider a simple complex Lie algebra  $L$  and two bilinear symmetric forms

$$\beta, \gamma : L \times L \rightarrow \mathbb{C}$$

which are non-degenerate and satisfy for  $x, y, z \in L$  the “associativity”

$$\beta([x, y], z) = \beta(x, [y, z]), \quad \gamma([x, y], z) = \gamma(x, [y, z]).$$

Show: There exists a scalar  $\mu \in \mathbb{C}^*$  satisfying

$$\beta = \mu \cdot \gamma.$$

**Exercise 9.3.** For

$$L := \mathfrak{sl}(2, \mathbb{C})$$

consider the Killing form  $\kappa$  and the trace form

$$\begin{aligned} \text{tr} : L \times L &\rightarrow \mathbb{C}, \\ \text{tr}(x, y) &:= \text{tr}(x \circ y). \end{aligned}$$

Determine  $\mu \in \mathbb{C}^*$  with

$$\kappa = \mu \cdot \text{tr}$$

**Exercise 9.4.**

- (1) For an Abelian Lie algebra  $I$ , show: Each endomorphism of the vector space  $I$  is a derivation of the Lie algebra  $I$ , i.e.

$$\mathfrak{gl}(I) = \text{Der}(I).$$

- (2) Consider a Lie algebra  $S$  and an Abelian Lie algebra  $I$ . Due to part (1) each representation

$$\rho : S \rightarrow \mathfrak{gl}(I)$$

satisfies  $\rho(S) \subset \text{Der}(I)$ . Therefore the semidirect product

$$L := I \rtimes_{\rho} S$$

is a well-defined Lie algebra, fitting into the exact sequence of Lie algebras

$$0 \rightarrow I \xrightarrow{j} L \xrightarrow{\pi} S \rightarrow 0.$$

Denote by

$$s : S \rightarrow L$$

a section against  $\pi$ . Assume  $S$  semisimple, and the representation  $\rho : S \rightarrow \mathfrak{gl}(I)$  non-zero and irreducible. Show:

- (a) Derived algebra:  $L = [L, L]$ .
- (b) Center:  $Z(L) = \{0\}$ .
- (c) No factorizing as direct product: There does not exist a pair  $(L_1, L_2)$  of Lie algebras with  $L_1$  semisimple and  $L_2$  solvable, such that

$$L \simeq L_1 \times L_2.$$

In particular,  $L$  is not semisimple.

[Hint: (i) Consider  $I \subset L$ ,  $S \subset L$  and verify  $\rho(S)(I) = I$ . Conclude  $[I, S]_L = I$ . Show  $[S, S]_L = S$ .

(ii) From  $(i, s) \in Z(L)$  conclude  $s = 0$ .]

# Problem Sheet 10

## Representation Theory of $\mathfrak{sl}(2, \mathbb{C})$

We set  $L := \mathfrak{sl}(2, \mathbb{C})$  for all problems on the present problem sheet.

**Exercise 10.1.** Consider two  $L$ -modules  $U$  and  $W$ . Show: If  $u \in U$  is a weight vector of weight  $\lambda_u$  and  $w \in W$  a weight vector of weight  $\lambda_w$ , then the tensor product

$$u \otimes w \in U \otimes_{\mathbb{C}} W$$

is a weight vector of weight  $\lambda_u + \lambda_w$ .

**Exercise 10.2.** Consider the injection of Lie algebras

$$\begin{aligned} j : L &\hookrightarrow \mathfrak{sl}(3, \mathbb{C}), \\ A &\mapsto j(A) := \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix}, \end{aligned}$$

considered as a block matrix.

(1) Show: With respect to the representation

$$\begin{aligned} \rho : L &\rightarrow \mathfrak{gl}(\mathfrak{sl}(3, \mathbb{C})), \\ z &\mapsto \text{ad } j(z), \end{aligned}$$

the  $L$ -module  $\mathfrak{sl}(3, \mathbb{C})$  is reducible.

(2) Why is the  $L$ -module  $\mathfrak{sl}(3, \mathbb{C})$  from part (1) completely reducible? Determine the isomorphism classes of the irreducible  $L$ -modules from the splitting of  $\mathfrak{sl}(3, \mathbb{C})$ .

**Exercise 10.3.** Denote by  $V(\lambda)$  the irreducible  $L$ -module with highest weight  $\lambda$ . Determine the irreducible components of the  $L$ -module

$$V(4) \otimes_{\mathbb{C}} V(7).$$

**Exercise 10.4.** For arbitrary  $p, q \in \mathbb{Z}_+$  determine the weights of the  $L$ -module

$$V(p) \otimes_{\mathbb{C}} V(q)$$

and the dimension of their weight spaces.

# Problem Sheet 11

## Root Systems of $\mathfrak{so}(4, \mathbb{C})$ and $\mathfrak{sl}(3, \mathbb{C})$

### Exercise 11.1.

- (1) Show the isomorphism of complex Lie algebras

$$\mathfrak{so}(4, \mathbb{C}) \simeq \mathfrak{so}(3, \mathbb{C}) \oplus \mathfrak{so}(3, \mathbb{C}).$$

- (2) Determine the root sets of  $\mathfrak{so}(3, \mathbb{C})$  and of  $\mathfrak{so}(4, \mathbb{C})$ , the root space decomposition of  $\mathfrak{so}(4, \mathbb{C})$ , and explicit generators of each root space of  $\mathfrak{so}(4, \mathbb{C})$ .
- (3) Determine the rank and a base of the root systems of  $\mathfrak{so}(3, \mathbb{C})$  and  $\mathfrak{so}(4, \mathbb{C})$ .

[Hint: (i) Define a suitable injective map  $\mathfrak{so}(3, \mathbb{C}) \oplus \mathfrak{so}(3, \mathbb{C}) \rightarrow \mathfrak{so}(4, \mathbb{C})$ . (ii) Use suitable generators of  $\mathfrak{so}(3, \mathbb{C})$ .]

For the following Exercise 11.2 and 11.3, set  $L := \mathfrak{sl}(3, \mathbb{C})$ .

### Exercise 11.2.

- (1) Choose a maximal toral subalgebra  $T \subset L$  and determine explicitly a vector space basis  $(h_j)_{j \in I}$  of  $T$ .
- (2) Determine the root set  $\Phi$  of  $L$  with respect to  $T$  and a base  $\Delta$  of the root system  $R = (\mathbb{R}^2, \Phi)$ .
- (3) Compute the root space decomposition of  $L$ : For each positive root  $\alpha \in \Phi^+$  determine root vectors

$$x_\alpha \in L^\alpha, y_\alpha \in L^{-\alpha}$$

such that the subalgebra of  $L$

$$L_\alpha := \text{span}_{\mathbb{C}} \langle x_\alpha, y_\alpha, h_\alpha := [x_\alpha, y_\alpha] \rangle$$

is isomorphic to

$$\mathfrak{sl}(2, \mathbb{C}).$$

**Exercise 11.3.** Denote by  $\Phi$  the root set of  $L$  with respect to a maximal toral subalgebra  $T \subset L$ , and by

$$V := \text{span}_{\mathbb{R}} \Phi$$

the real vector space spanned by the roots  $\alpha \in \Phi$ .

- (1) Determine the rank of the root system  $R := (V, \Phi)$  of  $L$ .
- (2) Determine the Cartan matrix of  $R$ .

**Exercise 11.4.** Compute the Weyl group of the root system of  $\mathfrak{so}(4, \mathbb{C})$  and of the root system of  $\mathfrak{sl}(3, \mathbb{C})$ .

# Problem Sheet 12

## Review in Representation Theory

**Exercise 12.1.** Name a maximal toral subalgebra of  $\mathfrak{sl}(2, \mathbb{C})$  and more general of  $\mathfrak{sl}(n, \mathbb{C})$ .

**Exercise 12.2.** What is a Cartan integer?

**Exercise 12.3.** Describe the irreducible finite-dimensional  $\mathfrak{sl}(2, \mathbb{C})$ -modules.

**Exercise 12.4.** Describe the structure of the Lie algebra  $\mathfrak{sl}(2, \mathbb{C})$ .

**Exercise 12.5.** Which role plays the Lie algebra  $\mathfrak{so}(3, \mathbb{C})$  in physics?

**Exercise 12.6.** How to obtain all complex representations of the matrix group  $SO(3, \mathbb{R})$ ?

**Exercise 12.7.** What is a base of a root system? Why is the concept important?

**Exercise 12.8.** What is a primitive element, and why is the concept important?

**Exercise 12.9.** In which respect differ the Coxeter graph and the Dynkin diagram of a root system?

**Exercise 12.10.** How do the Lie algebras  $\mathfrak{su}(n)$  and  $\mathfrak{sl}(n, \mathbb{C})$  relate to each other?

**Exercise 12.11.** Is the base of a root system uniquely determined?

**Exercise 12.12.** What are ladder operators?

**Exercise 12.13.** Define the concept of a root system. Why are root systems important?

**Exercise 12.14.** Define the Lie algebra of the angular momentum and its commutator relations.

**Exercise 12.15.** Which conditions on two bases of a root system ensure that they define isomorphic root systems?

**Exercise 12.16.** How do the Lie algebras  $\mathfrak{sl}(2, \mathbb{C})$  and  $\mathfrak{so}(3, \mathbb{C})$  relate to each other?

**Exercise 12.17.** How to obtain all representations of the matrix group  $SU(n)$ ?

**Exercise 12.18.** Write down the Cartan matrices of bases of  $\mathfrak{sl}(2, \mathbb{C})$  and  $\mathfrak{so}(4, \mathbb{C})$ . Explain their form.

**Exercise 12.19.** Which concept is the Weyl group of a root system, and why is the concept important?

**Exercise 12.20.** Determine the weight spaces of an irreducible finite-dimensional  $\mathfrak{sl}(2, \mathbb{C})$ -module and their dimensions.

**Exercise 12.21.** Which Cartan integers are possible for the root system of a semisimple complex Lie algebra?